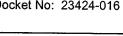
06-11-01

Docket No: 23424-016

Page 1 of 3



NEW UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Docket No. 23424-016

Total pages in this submission



TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent

METHOD AND SYSTEM FOR ENABLING COLLABORATION BET ADVISORS AND CLIENTS	WEEN
nd invented by:	
PAUL H. ROBB	
Continuation Divisional Continuation Divisional Continuation Continuation Continuation Continuation Continuation Divisional	y requisite information 09/732,008
closed are:	
Application Elements	
X Filing fee as calculated and transmitted as described below	
X Specification having 84 pages(s) and including the follows:	owing:
a. X Descriptive title of the invention	
b. X Cross references to related applications (if applicable)	
c. Statement regarding Federally-sponsored research/develop	oment (if applicable)
d. Reference to microfiche appendix (if applicable)	
e. X Background of the invention	
f. X Brief summary of the invention	
g. X Brief description of the drawings (if drawings filed)	
h. X Detailed description	
i. X Claims as classified below	
j. X Abstract of the disclosure	

Page 2 of 3

Docket No: 23424-016 **Application Elements (continued)** 3. | X | Drawing(s) (when necessary as prescribed by 35 U.S.C. 113) Formal Informal Number of sheets: 4. | x | Oath or Declaration Newly executed (original or copy) Unexecuted Copy from a prior application (37 C.F.R. 1.63(d) (for continuation/divisional applications only) With Power of Attorney Without Power of Attorney Incorporation by reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is Computer program in microfiche Genetic sequence submission (if applicable, all must be included) Paper copy Computer readable copy Statement verifying identical paper and computer readable copies **Accompanying Application** Assignment papers (cover sheet & document(s)) 37 C.F.R. 3.73(b) statement (when there is an assignee) English translation document (if applicable) Information Disclosure Statement/PTO-1449 Copies of IDS citations 12. Preliminary Amendment Acknowledgment postcard Certified copy of priority document(s) (if foreign priority is claimed) Certificate of Mailing First Class Express Mail (Label No.: EL708406672US X Small Entity Status Claimed

		<u>Accompanyin</u>	ng Application	on (continued)	
17. X Additional enclosures (please identify below):					
Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i) Application Data Sheet					
		Fee Calcu	lation and T	ransmittal	
The filing fee for th	is utility pat	ent application is	calculated a	and transmitted as follo	ws:
Large Entity		X Small Entity	′		
		CLA	IMS AS FIL	E <u>D</u>	
For	# Filed	# Allowed	# Extra	Rate	Fee
Total Claims	56	- 20 =	36	x \$9.00	\$324.00
Independent Claims	4	- 3 =	1	x \$40.00	\$40.00
Multiple Dependent Claims (check if applicable)					
Other Fees (specify purpose): Recordation of Assignment \$40.00				\$40.00	
	BASIC FEE \$355.00				\$355.00
TOTAL FILING FEE					\$759.00
x Check in the amount of \$759.00 to cover the total filing fee are enclosed.					
The Commissioner is hereby authorized to charge and Deposit Account No. 50-1553 as described					ou-1553 as described
Charge the amount of as filing fee.					
Credit any overpayment.					
Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.					
Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant					
	1		-		
Brian S. Rosenbloom, Attorney Reg. No. 41,276					
MINTZ LEVIN CC 11911 Freedom D Reston, Virginia 2 Tel.: 703-464-48	PHN FERRIS Prive, Suite 4 20190	S GLOVSKY ANI 400			

NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor		Paul H. Robb		
Title	Title Method and System for Enabling Collaboration Between Advisors and Clients			
Atty Docket Number		23424-016		

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

June 8, 2001 Date

Brian S. Rosenbloom

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO. Assistant Commissioner for Patents, Washington, DC 20231.